



STOCKTRACK SYSTEM FEATURES

HOLDER/CERTIFICATE

A Great Deal Of Information Can Be Entered But Only A Minimum Is Required. Partial List Of Shareholder Detail Information—

- Large Space For Registration Name
- Lost Shareholder And Search Dates
- Notes and User Defined fields
- Link documents by holder/company
- Certificate Detail And Summary Of Holdings For Each Shareholder

TRANSACTIONS

- Flexible Stock Transfers
- Book Entry and Certificated
- Made Available Date/Time
- Void and Transactions (keeps history)
- SEC Logging Option
- Retire Certificates
- Stock Conversion
- Print Certificates

PIPELINE

Log and track transfers from receipt through sending out certificates or confirmations (for book entry). With TA module, see all incomplete transfers for all companies in one place and track their status.

DIVIDEND AND SPLITS

- Cash Dividends
- Stock Dividends
- Incremental, Forward Or Backward Split
- Reporting On Dividends And Splits
- Cash Dividend Checks And Generate ACH (For Direct Deposit)
- Print Checks in Lieu
- Check Register and Void Check Register
- Federal Tax Reporting
- 1099-DIV And 1099-B Prints To IRS Forms Or Electronic Media or E-File
- Maintains cost basis pricing

DIVIDEND REINVESTMENT PLAN

- Enter reinvestment transactions
- Plan Purchases
- Statements

PROXY

- Setup Proxy Candidates and Issues
- Enter Proxy Votes
- Total Vote report
- “No Vote” Calling List

DATA HANDLING

- Export Data for Mail Merge and Analysis (multiple formats available)
- Mailing Labels in Many Sizes

REPORTS

Reports provide for many options, sorts and selection filters. Most can be exported or viewed directly in Microsoft Excel, Adobe PDF as well as other formats. This is a partial list:

- Statement and Confirmations
- Shareholder lists in multiple formats
- Support for OFAC Check
- Percentage of Ownership
- Lost Shareholders

- Certificate Register
- Certificates Issued
- Beneficial Ownership
- Lost/Stolen Certificates
- Canceled Certificates
- Ownership by State/Country
- Weighted Avg. Shares Outstanding to Calc Earnings per Share
- Certificate Inventory

- Transaction Reporting
- Insider Trading/ Ownership
- Company overview
- Monthly Billing (for TAs)

- Dividend Reinvestment Plan
- SEC Control Ticket (turnaround)
- Insurance Log
- Dividend reporting

CUSTOMIZE

Tailor StockTrack to your needs with many customizable features.

- Description and Authorization information for each CUSIP (Stock ID)
- Customize Certificate Layout
- Multiple Stock Classes

- Reservations
- Stock Restrictions
- Customizable Check Layout
- Set up Beneficial Owners
- Set up Sponsors
- Flexible User Access rights
- Users Change their Own Passwords
- Customize Shareholder Statement
- Replace Lost/Stolen Certificates
- Replace Damaged/Destroyed Certificates
- Supports Multiple Companies

TOOLS TO SIMPLIFY STARTUP

- Import Wizard to Bring in Shareholders and Certificates (*included free for 30 Days in standard edition; unlimited use for TA Module.*)
- Setup Mode

HELP

- Online User Guide
- Context Sensitive Help
- Guided Tour
- How do I? (FAQ's)
- Glossary of Terms

TRANSFER AGENT (TA) MODULE

- Edit/Correct SEC Logging Info
- Track Package Insurance info
- Batch Made Available
- Unlimited use of the Import Wizard
- Cross company reporting
- Pipeline tracks status of all incomplete packages from receipt through mailing — all companies on one screen
- Web Internet access module available
- DTC FAST integration

SUPPORT

- Unlimited Telephone Support
- FREE Classroom Training in Morris Plains, NJ
- Periodic Updates and Enhancements

MINIMUM WORKSTATION

- REQUIREMENTS**
- Windows 2000 Professional service pack 1 or Microsoft XP-Professional service pack 2 or Vista
- Screen resolution at least 1024 x 768